Communication

Studies on Properties of p-Nitrophenylazo Calix[4] arene Derivatives

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The p-nitrophenylazo calix[4] arene derivatives 1a—1d with nonlinear optical (NLO) properties were prepared by the diazo-coupling reaction of calix[4] arene with p-nitrophenyl diazonium. The diazotization reaction of p-nitroaniline was carried out with isoamyl nitrite as a source of nitrous acid in EtONa/EtOH under refluxing condition. X-Ray crystallographic analysis and ¹H NMR spectra reveal that they exist as cone conformation in crystal state or in solution. HRS measurements at 1064 nm in THF indicate that p-nitrophenylazo calix[4] arenes have higher hyperpolarizability β_z values than the corresponding reference compound 4-(4-nitrophenylazo)-2,6-dimethyl-phenol, without red shift of the charge transfer band. The tetrakis p-nitrophenylazo calix[4] arene (2) with longer alkyl chains can form monolayer at the air/water interface.

Keywords calix[4] arene, NLO property, crystal structure, conformation, LB film

Organic compounds with second-order nonlinear optical (NLO) properties have various potential in the development of materials for applications such as frequency doubling and optical switching. 1,2 Traditional organic NLO materials are organic molecules that contain electron-donating and electronaccepting groups connected via a conjugated π system, i.e., so called D- π -A structure. Generally, the second-order nonlinear hyperpolarizability β of a molecule increases with increasing length of the conjugated π system and increasing strength of the donor and acceptor.3 Unfortunately, an increase in the β value is accompanied by a red shift in the absorption spectra due to a larger π -conjugated length and/or stronger donor and acceptor substituents, i.e., there is a tradeoff between nonlinearity and transparency.4 To resolve this problem, much effects have been made in designing organic molecules with both high β value and good transparency. 4 For example, lambda-shaped molecules, 5,6 charged ionic compounds, 7,8 rigid multi-chromophore molecules and chromophore molecules with different types of conjugation bridge combinations 10 perform rather well in the nonlinearity-transparency tradeoff.

Recently, Reinhoudt et al. 11 introduced strong electronwithdrawing moieties, such as (nitro) ethenyl, (tricyano)ethenyl, (4-nitrophenyl) ethenyl, at the upper rim of calix-[4] arene to form preorganized multi-chromophore molecules with NLO activity. EFISHG (electric field induced second harmonic generation) experiments showed that the preorganized multi-chromophore molecules have higher β value than the corresponding mono-chromophore molecules, and the red shift of the absorption band does not exceed 20 nm. We have also demonstrated that calix[4] arene system with NLO active moieties is a promising organic molecule with high β value and good transparency. 12 Encouraged by these results, we designed and synthesized p-nitrophenylazo calix[4] arene derivatives 1a-1d with NLO properties. 1a-1d were obtained by a novel method in which the diazotization reaction of aromatic amines was carried out with isoamyl nitrite as a source of nitrous acid in EtONa/EtOH under refluxing condition, followed by diazo-coupling with calix[4] arene (Scheme 1). When the radios of p-nitroanilines to calix[4] arene were 4:1, 3:1, 2:1 and 1:1, the yields of 1a, 1b, 1c (proximal isomer) and 1d were 61.9%, 17.2%, 33.5% and 52.0%, respectively. The purification of products was per-G60 silica gel column chromatography formed on $(CH_3COOC_2H_5:CH_3COCH_3 = 10:1, V:V)$. In the ¹H NMR spectra of 1c, the methylene hydrogens of ArCH2Ar display three pairs of doublets (δ 4.41, 4.39; 4.37, 3.84; 3.71, 3.59) with a ratio of 1:2:1, and three peaks of the methylene carbons appear at δ 31.9, 32.5 and 33.4. Therefore compound 1c was proximal isomer. 13 The main reason is that the statiscal probability of diazo-coupling at the proximal positions is higher than that at the distal positions, which is similar to our previous work. 14 In order to explore the possibility of self-assembly ordered thin films by means of Langmuir-Blodgett technique, 2 was prepared through O-alkylation of 1a with dodecyl bromide as alkylating agent in the presence of K₂CO₃. ¹⁵ ¹H NMR, ¹³C NMR, MS, IR and elemental analy-

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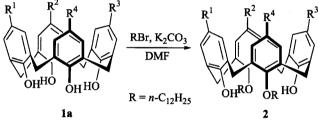
sis data of 1a-1d and 2 were given in accord with the assigned structures.

Scheme 1 Synthesis of *p*-nitrophenylazo-substituted calix[4] arenes

RNH₂ isoamyl nitrite
$$RNH_{2} = \frac{\text{isoamyl nitrite}}{\text{EtONa/EtOH, reflux}} \quad RN = \text{NONa} \quad R = p\text{-nitrophenyl}$$

$$RN = \frac{R}{\text{CO}_{2}} \cdot \frac{R}{\text{OO}_{2}} \cdot \frac{R}{\text{OO}_{2$$

$$R^1 = R^2 = p$$
-nitrophenylazo; $R^3 = R^4 = H$ 1c
 $R^1 = p$ -nitrophenylazo; $R^2 = R^3 = R^4 = H$ 1d
 $R^1 = p$ -nitrophenylazo; $R^2 = R^3 = R^4 = H$ 1d



Red-brown single crystals were obtained by slow evaporation of the chloroform solution of 1d and an X-ray crystallographic structural analysis was carried out. 16 ORTEP view of 1d is given in Fig. 1. The azo group of compound 1d exists in an anti-form. N = N bond length is 0.1162(6) nm. N(2)-N(3)-C(4) and N(3)-N(2)-C(29) bond angles are 108.3(5)° and 105.0(5)°, respectively. The dihedral angle between the two phenyl rings attached to N = N bond is 6.7°, so they are nearly in the same plane. The dihedral angle between the aromatic rings are 73.8° [C(1)-C(6) and C(8)-C(13)], 72.7° [C(8)-C(13) and C(15)-C(20)], 108.0° [C(15)-C(20)] and C(22)-C(27) and 109.2° [C(22)-C(27)]C(27) and C(1)-C(6)], respectively, so the calix[4] arene moiety in 1d exists in a distorted cone conformation. In ¹H NMR spectra in CDCl₃ solution, the methylene hydrogens of $ArCH_2Ar$ of **1a** and **2** appeared as one pair of doublets at δ 3.80, 4.78 and 3.72, 4.46, those of 1b and 1d appeared as two pairs of doublets (1:1) at δ 4.55, 4.49; 3.64, 3.49 and at δ 4.34, 4.29; 3.71, 3.59, respectively, and those of 1c appeared as three pairs of doublets (1:2:1) at δ 4.41, 4.39, 4.37, 3.84, 3.71, 3.59. The ¹H NMR chemical shift values and splitting pattern of the methylene hydrogens indicate that all azocalix[4] arenes mainly exist in the cone conformation in solution. 13,17,18 Moreover, in the 13 C NMR spectra in CDCl₃ solution, all signals of the methylene carbons appeared within the range of δ 31.3—33.6. This is also consistent with the cone conformation. ¹⁸ Therefore, D- π -A units are oriented at nearly the same direction, which is very important for NLO properties and necessary for the formation of the monolayer of 2 at air/water interface.

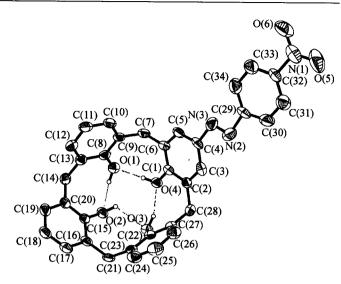


Fig. 1 ORTEP drawing of compound 1d.

HRS (Hyper-rayleigh scattering) experiments¹⁹ for p-nitrophenylazo calix [4] arenes 1a—1d and the corresponding reference compound 4-(4-nitrophenylazo)-2, 6-dimethyl-phenol (1e) were performed with a fundamental wavelength of 1064 nm in THF. The charge-transfer band $\lambda_{\rm CT}$ was determined by UV-240 ultraviolet-visible spectrophotometer. The hyperpolarizabilities β_z and the absorption maximum of the charge-transfer band ($\lambda_{\rm CT}$) for 1a—1e are summarized in Table 1.

Table 1 Hyperpolarizabilities β_z (in THF, at 1064 nm), charge-transfer band $\lambda_{\rm CT}$ and melting points for p-nitrophenylazo calix [4] arenes

Compd	$\beta_{\rm z}$ ($\times 10^{-30}$ esu)	λ _{CT} (nm)	m.p. (℃)
1a	296.6	374	> 320
1b	275.2	378	> 320
1c	302.6	382	> 320
1d	233.1	378	302-304
1e	186.7	387	

It can be seen from Table 1 that the compounds 1a-1d have higher hyperpolarizability β_z values than the corresponding reference compound 1e, and a favorable blue-shift of the charge transfer band can be observed. Based on the cone conformation, two D- π -A moieties of 1c do not behave as totally independent NLO unit, so β_z is 1.6 times the value of the reference compound 1e which has only one D- π -A unit. It is surprising that 1c with two D- π -A moieties has higher β_z value than 1a and 1b with four or three D- π -A moieties. The steric congestion at the upper rim area of calix[4] arene due to the bulk p-nitrophenyl-(E)-azo units may make the cone conformation flat, i. e., the average angles of the D- π -A moieties with the dipole axis of 1a or 1b are much larger than those of 1c and 1d. It is also notable that the melting points of p-nitrophenylazo calix [4] arenes excess 300 °C, so they have good thermal stability. Therefore, the calix [4] arene system with NLO active moieties is useful in resolving the nonlinearity-transparency-thermal stability tradeoff.

The monolayer of 2 can be formed by spreading 36 μ L of 5×10^{-4} mol/L 2 chloroform solution onto the surface of deionized water. ²⁰ The limiting molecular area and collapse pressure are 0.71 nm² and 60 mN/m, respectively. The shape of the isotherms is similar to that of amphiphile calix-[4] arene derivatives in our previous work. ²¹ Fig. 2 shows UV-vis spectra of 3-, 6-, 9-, 12-, 15- and 18-layer LB films of 2 deposited on quartz substrates from the surface of pure water. ²² It can be seen that the absorption intensities of the bands increase directly with the layer number. These results demonstrate that 2 can form stable monolayer at the air-water interface and LB film can be prepared.

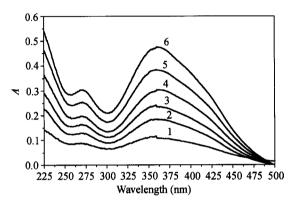


Fig. 2 UV-vis spectra of LB films of 2 deposited on quartz substrates from the surfaces of pure water. (1) 3-layer, (2) 6-layer, (3) 9-layer, (4) 12-layer, (5) 15-layer and (6) 18-layer.

In conclusion, the p-nitrophenylazo calix[4] arenes 1a-1d have higher hyperpolarizability β_z values than the corresponding reference compound 4-(4-nitrophenylazo)-2,6-dimethyl-phenol, and no red shift of the charge transfer band has been observed. Therefore, calix[4] arenes with NLO-active moieties are promising and interesting building blocks for designing stable organic NLO materials with both high β value and good transparency. In addition, p-nitrophenylazo calix[4] arene (2) with longer alkyl chains can form the monolayer at air/water, so it may be possible to assemble novel ordered film with NLO properties.

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 - 1d: m.p. 302-304 °C. ¹H NMR (CDCl₃, 600 MHz, 25 °C) δ : 10.20 (bs, 4H, OH), 8.33 (d, J = 9.0 Hz, 2H, ArH in the 4-nitrophenyl moiety), 7.92 (d, J = 9.0 Hz, 2H, ArH in the 4-nitrophenyl moiety), 7.74 (s, 2H, ArH in the azo phenol moiety), 7.15 (d, J = 7.8 Hz, 2H, ArH in the phenol moiety), 7.09 (d, J = 7.8 Hz, 2H, ArH in the phenol moiety), 7.05 (d, J = 7.8 Hz, 2H, ArH in the phenol moiety), 6.77 (t, J = 7.8Hz, 2H, ArH in the phenol moiety), 6.73 (t, J = 7.8 Hz, 1H, ArH in the phenol moiety), 4.29 (bs, 4H, ArCH₂Ar), 3.69 and 3.57 (bs, 4H, ArCH₂Ar); 13 C NMR (CDCl₃, 600 MHz) δ : 32.1, 32.7 (ArCH₂Ar), 118.8, 120.1, 121.8, 124.9, 126.3, 128.7, 129.3, 130.4, 131.1, 132.3, 140.9, 141.5, 150.5, 151.3, 153.8 (aromatic C); IR (KBr) ν : 1591.8 (N = N), 1341.8 (NO₂) cm⁻¹; MS (ESIMS) m/z: 572.7 ([M-H]⁻, calcd 572.3). Anal. calcd for C₃₄H₂₇N₃O₆: C 71.19, H 4.74, N 7.33; found C 70.85, H 5.12, N 7.17. A single crystal of 1d with dimensions of $0.40 \text{ mm} \times 0.30 \text{ mm} \times 0.20 \text{ mm}$ was mounted on a glass fiber and data were collected using a Bruker SMART CCD area detector diffractometer with graphite monochromated Mo $K\alpha$ ($\lambda = 0.071073$ nm) radiation. Chemical formula, $C_{34}H_{27}$ N_3O_6 ; crystal system, monoclinic; space group, P2(1)/n; unit cell dimension, a = 1.59306(13) nm, b = 1.06293(9) nm, c =2.03194(17) nm, $\beta = 110.480(2)^{\circ}$ and $D_{calcd} = 1.428 \text{ mg/cm}^3$ for Z = 4; final R indices $[I > 2\sigma(I)]$, $R_1 = 0.0910$.
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- 20 Surface pressure-area isotherms (π-A isotherms) were determined on KSV 5000 (mini trough). The temperature was kept at (20 ± 0.2) °C. 30 min were given for the evaporation of the spreading solvent. The π-A isotherms were measured three times at a barrier speed of 4 mm/min and were found reproducible.
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- The LB films were prepared at the surface pressure of 20 mN/m by horizontal lifting method. The transfer ratio was near (0.9 ± 0.1) . UV-vis spectra of LB films deposited on quartz plates were measured on a Jasco V-530 spectrophotometer.

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